

Electronic Acknowledgement Receipt

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| EFS ID: | 7930513 |
| Application Number: | 10767699 |
| International Application Number: | |
| Confirmation Number: | 5670 |
| Title of Invention: | System and method for controlling the disclosure of a trading order |
| First Named Inventor/Applicant Name: | Thomas J. Daley |
| Customer Number: | 63710 |
| Filer: | Glen Farbanish/Laura Alto |
| Filer Authorized By: | Glen Farbanish |
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| Submitted with Payment | no |
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File Listing:

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| 1 | Transmittal Letter | 04-6174_100630_IDS.pdf | 43384 <small>6c7d6b36842913d68636f9a45595d51588d27</small> | no | 5 |

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| 2 | Information Disclosure Statement (IDS) Filed (SB/08) | 04-6174_100630_1449.pdf | 39182 f6b09f1d0ef34c6f51d62340f0c63be4b372c1 | no | 3 |
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| 4 | Foreign Reference | JP_2002-203112.pdf | 1881679 eebb0ef72f1b31a0b3c796c2ca785a0c35e0c4098 | no | 26 |
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| 5 | Foreign Reference | JP_2003-058733.pdf | 1231924 9137b5be36d4d76528b67f6a2b5e1a0c5a1d3 | no | 20 |
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| 6 | Foreign Reference | JP_2003-058741.pdf | 729591 919d6865c19146eb03c9fb186da7d8f894d4f | no | 11 |
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| 7 | Foreign Reference | JP_2003-345987.pdf | 1572339 196928b6a6b5d428b13af5c914cd1886c128ad | no | 19 |
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| 8 | NPL Documents | AU_2006278382_Exam_Report_07-09-2009.pdf | 167281 c3e512828ba15e0baed164b4b566c7c9c33954 | no | 3 |
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| 9 | NPL Documents | 11-499833_NOA_03-12-2010.pdf | 386360 3c3f2ca1d77694ba6080710759b08ba1e1dad | no | 9 |
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| 10 | NPL Documents | New_AZX_Session_10-1997.pdf | 36935 35c51db2b8c20ba22962a9f9796f31ac9f7476 | no | 1 |

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| 11 | NPL Documents | Harris_New_Trading_Landscape_05-2002.pdf | 746214 aa0f8075d2ae177f0078f68195d49c493e9e0465 | no | 13 |
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| 12 | NPL Documents | Scientific_Technical_Info_Center_Search_Report_07-09-2009.pdf | 4288663 63d74465e4c351c1b80266e6042a77d0958e6b | no | 88 |
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| 32 | NPL Documents | EP_05712558-5_Comm_Office_Action_05-18-2010.pdf | 210343 2305054db088295b2db61f5f5e7f4d081149c0802 | no | 6 |
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